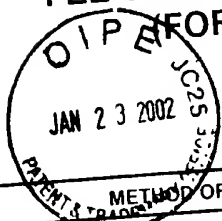


FEE TRANSMITTAL SHEET FOR FY 2001)



Complete if Known

Application No. 09/910,661

Filing Date July 20, 2001

First Named Inventor Vishnu K. Agarwal

Group Art Unit 1763

Examiner George Goudreau

Atty. Docket Number 500431.04

RECEIVED

FEB 04 2002

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	101	740	201	370		<input type="checkbox"/> Utility Filing Fee
	106	330	206	165		<input type="checkbox"/> Design Filing Fee
	108	740	208	370		<input type="checkbox"/> Reissue Filing Fee
	114	160	214	80		<input type="checkbox"/> Provisional Filing Fee
Subtotal (1)					\$ 0	

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total 5	20	= 0	x \$ 18	= \$ 0
Ind. 1	3	= 0	x \$ 84	= \$ 0
Multiple Dependent Claims				
Subtotal (2)				

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	103	18	203	9		Claims in excess of 20
	102	84	202	42		Independent claims in excess of 3
	104	280	204	140		Multiple dependent Claim
	109	80	209	40		Reissue independent claims over original patent
	110	18	210	9		Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

TC 1700

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee paid
	105	130	205	65		Surcharge - Late filing fee or oath	\$
	127	50	227	25		Surcharge - late provisional filing fee or cover sheet	\$
	139	130	139	130		Non-English specification	\$
	147	2,520	147	2,520		For Filing a Request for Reexamination	\$
	195	300	196	300		Publication (early or Republication)	\$
	115	110	215	55		Extension for reply within first month	\$
	116	400	216	200		Extension for reply within 2 nd month	\$
	117	920	217	460		Extension for reply within 3 rd month	\$
	118	1,440	218	720		Extension for reply within 4 th month	\$
	128	1,960	280	980		Extension for reply within 5 th month	\$
	120	320	220	160		Filing a brief in support of an appeal	\$
	121	280	270	140		Request for oral hearing	\$
	148	110	248	55		Terminal Disclaimer Fee	\$
	140	110	240	55		Petition to revive - unavoidable	\$
	141	1,280	241	640		Petition to revive - unintentional	\$
	142	1,280	242	640		Utility/Reissue issue fee (+ advance copies)	\$
	143	460	243	230		Design issue fee (+ advance copies)	\$
	122	130	122	130		Petitions to the Commissioner	\$
	123	50	123	50		Petitions related to provisional applications	\$
	126	180	126	180		Submission of IDS	\$
	581	40	81	40		Recording each patent assignment per property (times number of properties)	\$
	179	740	279	370		Request for Continued Examination (RCE)	\$
Other fee (specify)							
Subtotal (3)							\$ 0

Total Amount of Payment: \$ 0

Submitted by:

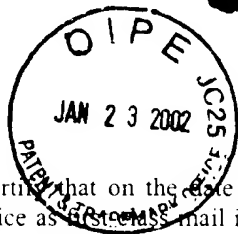
Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8728

Date: Dec 17, 2001

Signature: *Mark W. Roberts*



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Non-Fee Amendment, Commissioner of Patents, Washington, DC 20231.

Date December 17, 2001

Stephanie Jansen
Stephanie Jansen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Vishnu K. Agarwal	Attorney Docket No.:	500431.04
Serial No.	: 09/910,661	Group Art Unit	: 1763
Filed	: July 20, 2001	Examiner	: George Goudreau
Title	: METHOD AND APPARATUS FOR ENDPOINTING A CHEMICAL-MECHANICAL PLANARIZATION PROCESS		

Box Non-Fee Amendment
Commissioner of Patents
Washington, DC 20231

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AMENDMENT

Sir:

Applicant acknowledges receipt of the Office Action dated September 28, 2001.

REMARKS

Claims 68-72 are pending in the application. In the Office Action dated September 28, 2001, the Examiner took the following actions: (1) rejected claims 68 and 70-71 under 35 U.S.C. §102(e) as being anticipated to U.S. Patent No. 6,228,769 to Li *et al.*; (2) rejected claims 68 and 70-71 under 35 U.S.C. §102(e) as being anticipated to U.S. Patent No. 6,110,831 to Cargo *et al.*; (3) rejected claims 69 and 72 under 35 U.S.C. §103(a) as being obvious over any of Li *et al.* or Cargo *et al.* Applicant respectfully requests reconsideration of the application in view of the following remarks.

Applicant submits herewith a declaration of the inventor, Vishnu K. Agarwal, under 37 C.F.R. §1.131. The facts contained in the declaration show that the claimed invention was conceived by Applicant prior to the filing date (May 6, 1998) of Li *et al.* and prior to the filing date (September 4, 1997) of Cargo *et al.* and that Applicant was diligent in constructive reduction to practice by submitting a disclosure of the invention for preparation of this patent